

1645 PTO/SB/21 (08-03), The

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| TRANSMITTAL | | | Application Number | | 10/077,624 | |
| INANOMILIAL | | | Filing Date | | February 14, 2002 | |
| FORM | | | First Named Inventor | | Wenyuan SHI | |
| (to be used for all correspondence after initial filing) | | | Art Unit | | 1645 | |
| | | | Examiner Name | | Zerman, Robert A. | |
| Total Number of Pages in This | | Attorney Docket Number | | 061818-5512 US | | |
| Submission | | | | (Formerly 2101363-991201) | | |
| | ENC | OSURE | S (Check all that app | ly) | | |
| Fee Transmittal Form | ☐ Drawin | g(s) | | After Allowance Communication to Group | | |
| ☐ Fee Attached ☐ Licensi | | ing-related Papers | | Appeal Communication to Board of Appeals and Interferences | | |
| Amendment/Reply Petiti | | n | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | |
| After Final | ☐ Chang | e of Address | | Proprie | Proprietary Information | |
| Affidavits/declaration(s) | Revocation and Power of Attorney (with Schedule A) | | | ☐ Status Letter | | |
| ☐ Extension of Time Request (See Statem | | | nent Under 37 CFR § 3.73(b) | | Other Enclosure(s) (please identify below): | |
| | | | st for Refund | | ostcard | |
| Express Abandonment Request CD, No | | | umber of CD(s) | | | |
| Information Disclosure Statement | | | | | | |
| Certified Copy of Priority Document(s) Rema | | The Commissioner is authorized to charge any additional fees Deposit Account 50-0310. | | to charge any additional fees to | | |
| Response to Missing Parts/ Incomplete Application | | | I | | | |
| Response to Missing Parts | | | | | | |
| under 37 CFR 1.52 or 1.53 | | | | | | |
| | | | ICANT, ATTORNEY | , OR AGEN | T | |
| Firm Morgan Lewis & Bockius LLP or Nan Wu Reg. No. 43,360 | | | | | | |
| Individual Nan Vyu / / Reg. No. 43,300 | | | | | | |
| Signature // // // // // // // // // // // // // | | | | | | |
| Date July ZL, 2005 | | | | | | |
| CERTIFICATE OF TRANSMISSION/MAILING | | | | | | |
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. | | | | | | |
| Typed or printed name Judi Stillwell | | | | | | |
| Signature | | | | Date | July <u>25</u> , 2005 | |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Polication

See Attached Schedule A

of:

Serial No.:

See Attached Schedule A

Filed:

See Attached Schedule A

For:

See Attached Schedule A

Attorney Docket No:

See Attached

Schedule A

REVOCATION AND POWER OF ATTORNEY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

The Regents of the University of California, owner of the entire right, title and interest in, to and under the inventions listed in the attached Schedule hereby revokes all previous powers of attorney and appoints Morgan, Lewis & Bockius LLP, Customer No. 43850, and each of them, its attorneys, to prosecute these matters, and to transact all business in the Patent and Trademark Office connected therewith, said appointment to be to the exclusion of the inventors and their attorney(s) in accordance with the provisions of 37 C.F.R. 3.71, provided that, if any one of these attorneys ceases being affiliated with the law firm of Morgan, Lewis & Bockius LLP as partner, counsel, or employee, then the appointment of that attorney and all powers derived therefrom shall terminate on the date such attorney ceases being so affiliated.

Please direct all future correspondence to the address associated with Customer No. 43850, Morgan, Lewis & Bockius LLP and direct all telephone calls to Morgan, Lewis & Bockius LLP at (650) 843-4000.

Date:

Assignee:

California

Signature:

Typed Name:

Lorelei de Larena

Position/Title:

Intellectual Property Manager

Address:

10920 Wilshire Blvd. Suite 1200

The Regents of the University of

Los Angeles, CA 90024-1406

Schedule A- UCLA

| MLB File No. UC R # in brackets | UC R # in App. No. / Patent No. | | Title | Date Filed Date Issued | |
|-------------------------------------------------------------------------------------------------------------------|---------------------------------|------------------------------------------|--------------------------------------------------------------------------------------------------------|---------------------------|--|
| 061818-5510 US (1999-543-1) | 09/378,577 | Shi and Anderson | Method for the Treatment and Prevention of Dental Caries | 08/20/99 | |
| 061818-5511 US (1999-543-2) | 09/881,823 | Shi, Anderson, et al. | DNA Molecules and Recombinant DNA Molecules for Producing Humanized Monoclonal Antibodies to S. Mutans | 06/15/01 | |
| 061818-5512 US (1999-543-3) | 09/910,358 | Shi, Anderson, et al. | Fusion Proteins for Targeted Delivery of Antimicrobial Peptides | 07/19/01 | |
| 061818-5512 US01 (1999-543-4) | 10/077,624 | Shi, Anderson, et al. | Anti-Microbial Targeting Chimeric Pharmaceutical | 02/14/02 | |
| 061818-5512 US02 (2003-509-1 according to GC records) (1999-543-5 according to your records) | 10/706,391 | Randal Eckert, Qi, Shi and Anderson | Anti-Microbial Targeting Chimeric Pharmaceutical | 11/12/03 | |
| 061818-5509 US (2002-409-1) | 10/156,378 | Shi, Anderson, et al. | Herbs & Herbal Combinations Useful- for the Treatment of Microbial Infections | 05/28/02 | |
| 061818-5515 US (2004-522-1) | 10/795,554 | Shi, Anderson, et al. | Compositions Useful for the Treatment of Microbial Infection | 03/04/04 | |
| 061818-5516 US (2002-483-1) | 10/164,446 | Justin Merritt, Shi, Anderson, et al. | Quorum Sensing and Biofilm Formation | 06/06/02 | |



Application Serial No.: 10/077,624

Examiner: Zerman, Robert A.

Art Unit: 1645

Filing Date: February 14, 2002

Confirmation No. 2797

For:

ANTI-MICROBIAL TARGETING CHIMERIC

PHARMACEUTICAL

Attorney Docket No.:

061818-5512 US

(Formerly 2101363-991201)

COMMUNICATION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Applicants enclose herewith a Revocation and Power of Attorney by Assignee executed on April 13, 2005 by Lorelei de Larena on behalf of The Regents of The University of California and a Statement under 37 C.F.R 3.73(b) executed by Nan Wu (Reg. No. 43,360) on behalf of the assignee, as appointed representative under the Power of Attorney.

Applicants believe that no fee is required with the submission of the enclosed document. However, if it is found that a fee is necessary, please charge the required fee to Morgan, Lewis & Bockius LLP Deposit Account No. 50-0310. A copy of this sheet is enclosed for accounting purposes.

Date:

July 2, 2005

Respectfully submitted,

Van Wu

43,360

(Reg. No.)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

n re Application of: Wenyuan SHI, et al.

Application Serial No.: 10/077,624 Examiner: Zerman, Robert A.

Art Unit: 1645

Filing Date: February 14, 2002 Confirmation No. 2797

ANTI-MICROBIAL TARGETING CHIMERIC For:

PHARMACEUTICAL

Attorney Docket No.:

061818-5512 US

(Formerly 2101363-991201)

STATEMENT UNDER 37 C.F.R. § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

| Alexani | ulia, VA | (223 13- | 1430 | | | | |
|---------|--------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------|--------------------------------------------------------------------------------------------|------------------------|--------------------------------------------|
| Sir: | | | | | | | · |
| | | rship, unive the ass an assi | ersity governing ignee of the gnee of lessential in the greet of lessential in the greet in the | nent agency), ne entire rions ss than the | CALIFORNIA, a states that it is: ght, title, and inte entire right, title of its ownership | rest; or and interest. | Type of Assignee, e.g., |
| | | | | lentified ab ntified abov | | an assignmer | nt from the inventor(s) of |
| × | | • | | | | | ademark Office on opy thereof is attached. |
| OR | | | | | | | |
| | A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: | | | | | | |
| | From: To: The document was recorded in the United States Patent and Trademark Office on at Reel , Frame , or for which a copy thereof is attached. | | | | | | |
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| The | undersig | ned whos | e signature | and title is s | upplied below is au | thorized to act o | n behalf of the assignee. |
| Name | e _ | Nan Wu | A/// | | | | |
| Signa | ature _ | | 1/40 | U | - | Date | July 2005 |
| Title | _ | Attorney | Reg. No. 4 | 3,360 | · · | Telephone | (415) 442-1340 |